

## BOUNDARY BEHAVIOR OF HARMONIC FORMS ON A RANK ONE SYMMETRIC SPACE

BY

AROLDO KAPLAN<sup>(1)</sup> AND ROBERT PUTZ<sup>(2)</sup>

**ABSTRACT.** We study the boundary behavior of 1-forms on a rank-one symmetric space  $M$  satisfying the equations  $d\omega = 0 = \delta\omega$ ; the role of boundary is played by a nilpotent (Iwasawa) group  $\bar{N}$  of isometries of  $M$ . For forms satisfying certain  $H^p$  integrability conditions, we obtain the existence of boundary values in an appropriate sense, characterize these boundary values by means of fractional and singular integral operators on the group  $\bar{N}$ , and exhibit explicit isomorphisms between  $H^p$  spaces of forms on  $M$  and the ordinary  $L^p$  spaces of functions on the group  $\bar{N}$ .

Let  $M$  be a Riemannian manifold and let  $\delta$  be the adjoint of the exterior differential  $d$  on  $M$ . The equation  $d\omega = 0 = \delta\omega$  can be considered as a generalization of the classical Cauchy-Riemann equations. Their solutions were studied by Stein and Weiss in the case  $M = \mathbf{R}^n \times \mathbf{R}^+$  with the euclidean metric (conjugate systems of harmonic functions) [9], by Korányi and Vági in the case when  $M$  is a euclidean ball in  $\mathbf{R}^n$  [7], and by Coifman and Weiss in the case  $M = G \times \mathbf{R}^+$ ,  $G$  being a compact Lie group with the bi-invariant metric [1].

In this paper we consider the case when  $M$  is a noncompact symmetric space of rank one, define  $H^p$  spaces of 1-forms satisfying the above equations and study their boundary behavior. The role of boundary is played by a nilpotent group  $\bar{N}$  of isometries of  $M$ , which is the Cartan-conjugate of  $N$  in a fixed Iwasawa decomposition  $G = KAN$  of the connected group of isometries of  $M$  [5].

A right action of the solvable group  $\bar{S} = A\bar{N}$  induces a decomposition of the tangent bundle of  $M$  along the  $A$  and  $\bar{N}$ -directions ("vertical" and "horizontal" directions, by analogy with the upper half plane). It is shown that if a form  $\omega$  is in certain  $H^p$  classes, its components along these directions have boundary values and that, moreover, the boundary values of the horizontal components can be obtained from the boundary values of the vertical

---

Received by the editors February 2, 1976.

AMS (MOS) subject classifications (1970). Primary 43A85, 53C35; Secondary 35H05.

<sup>(1)</sup> Partially supported by NSF grant GP29703A3.

<sup>(2)</sup> Partially supported by NSF grant GP28448.

component by means of fractional and singular integral operators on the group  $\bar{N}$  (the Riesz transforms). The kernels of these operators, introduced in §2, arise as left-invariant derivatives of a fundamental solution of a second-order hypoelliptic operator on the group  $\bar{N}$ . We are then able to recover a form  $\omega$  in  $\mathbf{H}^p$  from the boundary value of its vertical component, and to establish in this manner canonical isomorphisms between the  $\mathbf{H}^p$  spaces of forms and the ordinary  $L^p$  spaces on the group  $\bar{N}$ .

**1. A class of vector fields on  $M$ .** The symmetric space  $M$  can be expressed as a homogeneous space  $M = G/K$  where  $G$  is a semisimple group of isometries of  $M$  and  $K$  is a maximal compact subgroup of  $G$ . Let  $\mathfrak{g}, \mathfrak{k}$  denote the Lie algebras of  $G$  and  $K$ ,  $B$  the Killing form of  $\mathfrak{g}$ , and  $\mathfrak{p}$  the orthogonal complement of  $\mathfrak{k}$  in  $\mathfrak{g}$  relative to  $B$ . If  $\pi: G \rightarrow G/K$  denotes the canonical projection, its differential at the identity,  $\pi_*$ , identifies the subspace  $\mathfrak{p}$  of  $\mathfrak{g}$  with  $T_0(M)$ , the tangent space of  $M$  at the origin  $o = \pi(e)$ , and the invariant metric  $g$  on  $M$  can be chosen so that  $g_0$  corresponds to the restriction of  $B$  to  $\mathfrak{p} \times \mathfrak{p}$  under the above identification.

Let  $\alpha$  be a maximal abelian subspace of  $\mathfrak{p}$ ,  $\Delta \subseteq \alpha^*$  the corresponding system of (restricted) roots, and for each  $\alpha \in \Delta$  let  $\mathfrak{g}_\alpha$  denote the corresponding root space. Let  $\Delta_+$  denote the system of positive roots relative to the choice of a fixed lexicographic ordering in  $\alpha^*$ ; if  $\alpha \in \Delta_+$ , we write  $\bar{\mathfrak{g}}_\alpha = \mathfrak{g}_{-\alpha}$ . Then  $\mathfrak{n} = \sum_{\alpha \in \Delta_+} \mathfrak{g}_\alpha$ ,  $\bar{\mathfrak{n}} = \sum_{\alpha \in \Delta_+} \bar{\mathfrak{g}}_\alpha$  are nilpotent subalgebras of  $\mathfrak{g}$ , and if  $A, N, \bar{N}$ , are the connected subgroups of  $G$  with Lie algebras  $\alpha, \mathfrak{n}, \bar{\mathfrak{n}}$ , one has the Iwasawa decompositions  $G = KAN$  and  $G = \bar{N}AK$ .

Now,  $\bar{S} = \bar{N}A$  is a solvable subgroup of  $G$  and the above decomposition shows that every  $p \in M$  can be uniquely written as  $p = s \cdot o$  ( $s \in \bar{S}$ ). We can therefore define a right action  $\tau$  of  $\bar{S}$  on  $M$  by letting

$$\tau(s)(s' \cdot o) = s's \cdot o \quad (s, s' \in \bar{S}).$$

For each  $X \in \bar{\mathfrak{s}} = \bar{\mathfrak{n}} + \alpha$  define a vector field  $\tilde{X}$  on  $M$  by letting

$$(1) \quad \tilde{X}_{na \cdot o} = \tau_*(\text{Ad}(a^{-1})X)_{na \cdot o} \quad (n \in \bar{N}, a \in A).$$

Now let  $X$  be any nonzero element of  $\bar{\mathfrak{s}}$ ; for each fixed  $a \in A$ , the vector field  $\tau_*(\text{Ad}(a^{-1})X)$  never vanishes on  $M$ , because  $\text{Ad}(a^{-1})$  is an automorphism of  $\bar{\mathfrak{s}}$  and  $\tau$  is a free action; therefore, the same is true of the vector field  $\tilde{X}$ . It then follows that  $X \rightarrow \tilde{X}$  maps a basis of  $\bar{\mathfrak{s}}$  into a global frame of vector fields on  $M$ .

We shall now compute the Lie brackets and the inner products between vector fields induced in the above manner. Fix  $a \in A$ ; the mapping  $\Phi_a: n \rightarrow na \cdot o$  is a diffeomorphism from  $\bar{N}$  onto the submanifold  $\bar{N}a \cdot o \subseteq M$ , and if  $X \in \bar{\mathfrak{n}}$ , then  $\tilde{X}_{na \cdot o} = (\Phi_{a*}X)_{na \cdot o}$ . Therefore the vector fields  $\tilde{X}$ , ( $X \in \bar{\mathfrak{n}}$ ) are tangent to the submanifolds  $\bar{N}a \cdot o$ , and the map  $X \rightarrow \tilde{X}$  is a Lie algebra

homomorphism from  $\bar{n}$  into the Lie algebra of all smooth vector fields on  $M$ . On the other hand, if  $n_0 \in \bar{N}$ ,  $a_0 \in A$ , the diffeomorphisms of  $\bar{S}$  given by  $na \rightarrow nn_0a$  and  $na \rightarrow na_0a$  commute with each other; it follows that if  $H \in \alpha$ , then  $[\tilde{H}, \tilde{X}] = 0$  for every  $X \in \bar{s}$ .

Now, let  $X \in \bar{s}$ ; the induced vector field  $\tilde{X}$  can be expressed as  $\tilde{X}_{na \cdot o} = (na)_*(\pi_{*e}(\text{Ad}(a^{-1})X))$ , and from the invariance of the Riemannian metric  $g$ , it follows that

$$(2) \quad g_{na \cdot o}(\tilde{X}, \tilde{Y}) = g_o(\pi_{*e}(\text{Ad}(a^{-1})X), \pi_{*e}(\text{Ad}(a^{-1})Y)) \quad (X, Y \in \bar{s}).$$

Let  $\theta$  denote the Cartan involution associated to the decomposition  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  and let  $(\cdot, \cdot)$  denote the inner product  $-B(\cdot, \theta \cdot)$  on  $\mathfrak{g}$ . Then

$$(3) \quad \begin{aligned} g_o(\pi_{*e}(Z_1), \pi_{*e}(Z_2)) &= B(\tfrac{1}{2}(Z_1 - \theta Z_1), \tfrac{1}{2}(Z_2 - \theta Z_2)) \\ &= \tfrac{1}{2}(Z_1, Z_2) - \tfrac{1}{2}(Z_1, \theta Z_2). \end{aligned}$$

Now using (2) and (3), together with the fact that the subalgebras  $\alpha$ ,  $\mathfrak{n}$ , and  $\bar{n} = \theta\mathfrak{n}$  are mutually orthogonal relative to the inner product  $(\cdot, \cdot)$ , one gets the following expression for the inner product of two arbitrary vector fields induced from  $\bar{s}$ :

$$(4) \quad g_{na \cdot o}((H_1 + X_1)^\sim, (H_2 + X_2)^\sim) = (H_1, H_2) + \tfrac{1}{2}(\text{Ad}(a^{-1})X_1, \text{Ad}(a^{-1})X_2),$$

where  $H_1, H_2 \in \alpha$ ,  $X_1, X_2 \in \bar{n}$ .

In particular, if  $X$  is a root vector corresponding to the root  $-\alpha$  ( $\alpha \in \Delta_+$ ) and  $H$  is in  $\alpha$ , then the induced vector fields  $\tilde{H}$  and  $\tilde{X}$  are orthogonal,  $\tilde{H}$  has constant length equal to  $(H, H)^{1/2}$ , and the length of  $\tilde{X}$  is given by

$$(5) \quad g_{na \cdot o}(\tilde{X}, \tilde{X}) = \tfrac{1}{2}e^{2\alpha(\log a)}(X, X).$$

In §3 it will be useful to have at our disposal a formula for the codifferential (or "divergence")  $\delta\omega$  of a 1-form  $\omega$  in terms of the vector fields introduced above. First of all, if  $Y_1, \dots, Y_n$  is an orthonormal frame defined on an open subset of a Riemannian manifold  $(V, g)$ , and  $\omega$  is a 1-form on  $V$ , then  $\delta\omega = \sum_i (Y_i \omega(Y_i) - \iota(Y_i)\omega(Y_i))$ , where  $\iota(Y_i) = \sum_j g([Y_i, Y_j], Y_j)$ ; this expression is easily derived from any of the standard definitions of the operator  $\delta$ .

Now choose a basis  $\{H_i, X_{\alpha,j}\}$  of the Lie algebra  $\bar{s}$ , such that  $H_i \in \alpha$ ,  $X_{\alpha,j} \in \bar{\alpha}$ , and orthonormal with respect to the inner product  $(\cdot, \cdot) = -B(\cdot, \theta \cdot)$ . Letting  $e^\alpha$  be the function on  $M$  whose value at the point  $na \cdot o$  ( $n \in \bar{N}$ ,  $a \in A$ ) is  $e^{\alpha(\log a)}$ , from definition (1) it follows that  $\tilde{H}_i = \tau_*(H_i)$  and  $\tilde{X}_{\alpha,j} = e^\alpha \tau_*(X_{\alpha,j})$ . Therefore (5) implies that  $\{\tau_*(H_i), \sqrt{2}\tau_*(X_{\alpha,j})\}$  is an orthonormal frame on  $M$ . Since  $\tau_*$  is a Lie algebra homomorphism, the relations  $[H_i, X_{\alpha,j}] = -\alpha(H_i)X_{\alpha,j}$ ,  $[X_{\alpha,j}, X_{\beta,k}] \in \bar{\alpha}_{\alpha+\beta}$  imply that, relative to this ortho-

normal frame,  $t(\tau_*(X_{\alpha,j})) = 0$  for all  $\alpha, j$ , and  $t(\tau_*(H_i)) = -\sum_{\alpha \in \Delta_+} m_\alpha \alpha(H_i) = -2\rho(H_i)$  (where as usual we put  $m_\alpha = \dim \mathfrak{g}_\alpha$ ,  $\rho = \frac{1}{2} \sum_{\alpha \in \Delta_+} m_\alpha \alpha$ ). Hence

$$\delta\omega = \sum_i \tau_*(H_i) \omega(\tau_*(H_i)) + 2 \sum_\alpha \sum_j \tau_*(X_{\alpha,j}) \omega(\tau_*(X_{\alpha,j})) + 2 \sum_i \rho(H_i) \omega(\tilde{H}_i).$$

Now  $\sum_i \rho(H_i) H_i = H_\rho$ , the vector dual to  $\rho$ ; since  $\tau_*(X_{\alpha,j}) = e^{-\alpha} \tilde{X}_{\alpha,j}$  and the vector field  $\tilde{X}_{\alpha,j}$  annihilates the function  $e^{-\alpha}$ , one obtains

$$(6) \quad \delta\omega = \sum_i \tilde{H}_i \omega(\tilde{H}_i) + 2\omega(\tilde{H}_\rho) + 2 \sum_{\alpha \in \Delta_+} \sum_j e^{-2\alpha} \tilde{X}_{\alpha,j} \omega(\tilde{X}_{\alpha,j}).$$

Now assume that the rank of  $M$  is one. One can then choose a positive root  $\alpha$  such that either  $\Delta_+ = \{\alpha\}$  or  $\Delta_+ = \{\alpha, 2\alpha\}$ . Let  $H_0 \in \mathfrak{a}$  be the vector such that  $\alpha(H_0) = 1$ ; then

$$(H_0, H_0) = B(H_0, H_0) = \text{trace}(\text{ad } H_0)^2 = 2(m_\alpha + 4m_{2\alpha}).$$

Now set  $\eta = (16(m_\alpha + 4m_{2\alpha}))^{-1}$  and  $m = m_\alpha + 2m_{2\alpha}$ ; then the vector  $(8\eta)^{1/2} H_0$  has unit length, and since  $(H_\rho, H_0) = \rho(H_0) = \frac{1}{2}(m_\alpha + 2m_{2\alpha}) = m/2$ , one obtains  $H_\rho = 4\eta m H_0$ . Let  $\{X_i\}_{i=1}^{m_\alpha}$  and  $\{Y_j\}_{j=1}^{m_{2\alpha}}$  be orthonormal bases of  $\bar{\mathfrak{g}}_\alpha$  and  $\bar{\mathfrak{g}}_{2\alpha}$ , respectively; then (6) now implies

$$(7) \quad \begin{aligned} \delta\omega &= 8\eta \tilde{H}_0 \omega(\tilde{H}_0) + 8\eta m \omega(\tilde{H}_0) + 2e^{-2\alpha} \sum_i \tilde{X}_i \omega(\tilde{X}_i) \\ &\quad + 2e^{-4\alpha} \sum_j \tilde{Y}_j \omega(\tilde{Y}_j). \end{aligned}$$

For notational convenience we replace the vector field  $\tilde{H}_0$  (which has constant length) by the vector field  $\tilde{W} = e^{2\alpha} \tilde{H}_0$ , which grows on the order of the vector fields induced by the elements of  $\bar{\mathfrak{g}}_{2\alpha}$ . Since  $\tilde{H}_0(e^{-2\alpha}) = -2e^{-2\alpha}$  and  $\tilde{H}_0 \omega(\tilde{H}_0) = e^{-4\alpha} \tilde{W} \omega(\tilde{W}) - 2e^{-2\alpha} \omega(\tilde{W})$ , we obtain from (7):

LEMMA 1. *In the notation above,*

$$\begin{aligned} \frac{1}{8\eta} e^{2\alpha} \delta\omega &= e^{-2\alpha} \tilde{W} \omega(\tilde{W}) + (m-2) \omega(\tilde{W}) + \frac{1}{4\eta} \sum_i \tilde{X}_i \omega(\tilde{X}_i) \\ &\quad + \frac{1}{4\eta} e^{-2\alpha} \sum_j \tilde{Y}_j \omega(\tilde{Y}_j). \end{aligned}$$

**2. Riesz transforms on  $\bar{N}$ .** This section is concerned with some analysis on the nilpotent group  $\bar{N}$ . In particular, we will construct some integral operators on this group which will play a central role in the characterization of the boundary values of harmonic forms on the space  $M$ .

From now on we assume that the rank of  $M$  is one; thus,  $\bar{\mathfrak{n}} = \bar{\mathfrak{g}}_\alpha \oplus \bar{\mathfrak{g}}_{2\alpha}$ . Let  $\{X_i\}$ ,  $1 \leq i \leq m_\alpha$ , be an orthonormal basis of  $\bar{\mathfrak{g}}_\alpha$ , and define

$$(8) \quad L = \sum_i X_i^2;$$

then  $L$  is a differential operator on  $\bar{N}$  which is independent of the choice of orthonormal basis of  $\mathfrak{g}_\alpha$ . Note that  $L$  is not elliptic, unless  $\bar{\mathfrak{g}}_{2\alpha} = (0)$  (in this case, which occurs when  $M$  is a real hyperbolic space,  $\bar{N}$  is isomorphic to  $\mathbf{R}^n$  and  $L$  becomes the standard Laplacian); however, since any basis of  $\bar{\mathfrak{g}}_\alpha$  constitutes a system of generators for the Lie algebra  $\bar{\mathfrak{n}}$ , a result of Hörmander [4] implies that  $L$  is always hypoelliptic. Our first objective will be to obtain an explicit fundamental solution for this operator.

For  $X \in \mathfrak{g}$ , set  $|X| = (X, X)^{1/2}$ . The following lemma holds without restriction on the rank of  $M$ .

**LEMMA 2.** *Let  $\alpha$  be a restricted root,  $X \in \bar{\mathfrak{g}}_\alpha$ , and  $Y \in \bar{\mathfrak{g}}_{2\alpha}$ . Then  $[[Y, \theta X]] = \sqrt{2}|\alpha||X||Y|$ . If  $\{X_i\}$ ,  $1 \leq i \leq m_\alpha$ , is an orthonormal basis of  $\bar{\mathfrak{g}}_\alpha$ , then*

$$\sum_{i=1}^{m_\alpha} \|[X, X_i]\|^2 = 2m_{2\alpha}|\alpha|^2|X|^2.$$

**PROOF.** We have

$$[[Y, \theta X]]^2 = -B([Y, \theta X], [\theta Y, X]) = B([Y, \theta X], X, \theta Y).$$

Since  $[\bar{\mathfrak{g}}_\alpha, \bar{\mathfrak{g}}_{2\alpha}] = (0)$ ,  $[[Y, \theta X], X] = -[Y, [X, \theta X]]$  by Jacobi's identity. Now  $[X, \theta X] = -B(X, \theta X)H_\alpha = |X|^2H_\alpha$  and  $[Y, H_\alpha] = 2\alpha(H_\alpha)Y = 2|\alpha|^2Y$ . Therefore

$$[[Y, \theta X]]^2 = -2|\alpha|^2|X|^2B(Y, \theta Y) = 2|\alpha|^2|X|^2|Y|^2,$$

proving the first identity.

Now let  $\{X_i\}$ ,  $1 \leq i \leq m_\alpha$ , be as above, and choose an orthonormal basis  $\{Y_j\}$ ,  $1 \leq j \leq m_{2\alpha}$ , of  $\bar{\mathfrak{g}}_{2\alpha}$ . Then

$$\|[X, X_i]\|^2 = \sum_{j=1}^{m_{2\alpha}} (Y_j, [X, X_i])^2 = \sum_{j=1}^{m_{2\alpha}} ([Y_j, \theta X], X_i)^2;$$

adding over  $i = 1, \dots, m_\alpha$ , one gets

$$\sum_{i=1}^{m_\alpha} \|[X, X_i]\|^2 = \sum_{j=1}^{m_{2\alpha}} \|[Y_j, \theta X]\|^2.$$

But the first part of the lemma shows that every term in the last sum is equal to  $2|\alpha|^2|X|^2$ , finishing the proof.

Any element  $n \in \bar{N}$  can be written uniquely as  $n = \exp(X + Y)$ , with  $X \in \bar{\mathfrak{g}}_\alpha$ ,  $Y \in \bar{\mathfrak{g}}_{2\alpha}$ . A function  $F$  on  $\bar{N}$  will be called *biradial* if there is a function  $f(u, v)$  of two real variables such that

$$F(\exp(X + Y)) = f(|X|^2, |Y|^2).$$

LEMMA 3. Let  $F$  be a smooth biradial function on  $\bar{N}$ ,  $F(\exp(X + Y)) = f(|X|^2, |Y|^2) = f(u, v)$ ; let  $X' \in \bar{g}_\alpha$ ,  $Y' \in \bar{g}_{2\alpha}$  and let  $L$  be the operator defined by (8). Then, for  $n = \exp(X + Y)$ ,

$$\begin{aligned} \text{(i)} \quad & (X'F)(n) = 2(X', X)\partial f/\partial u + ([X, X'], Y)\partial f/\partial v, \\ \text{(ii)} \quad & (Y'F)(n) = 2(Y', Y)\partial f/\partial v, \\ \text{(iii)} \quad & (LF)(n) = 4|X|^2\partial^2 f/\partial u^2 + 2|\alpha|^2|X|^2|Y|^2\partial^2 f/\partial v^2 \\ & \quad + 2m_\alpha\partial f/\partial u + m_{2\alpha}|\alpha|^2|X|^2\partial f/\partial v. \end{aligned}$$

PROOF. For  $t \in \mathbf{R}$ , one has

$$\exp(X + Y)\exp tX' = \exp(X + tX' + Y + \tfrac{1}{2}t[X, X']).$$

Therefore

$$F(n \exp tX') = f(|X + tX'|^2, |Y + \tfrac{1}{2}t[X, X']|^2),$$

and

$$\frac{d}{dt}F(n \exp tX') = 2((X, X') + t|X'|^2)\frac{\partial f}{\partial u} + ((Y, [X, X']) + \tfrac{1}{2}t\|[X, X']\|^2)\frac{\partial f}{\partial v}.$$

Therefore

$$(X'F)(n) = \left. \frac{d}{dt}F(n \exp tX') \right|_{t=0} = 2(X', X)\frac{\partial f}{\partial u} + ([X, X'], Y)\frac{\partial f}{\partial v},$$

showing (i); also

$$\begin{aligned} (X'^2F)(n) &= \left. \frac{d^2}{dt^2}F(n \exp tX') \right|_{t=0} \\ \text{(9)} \quad &= 4(X, X')^2\frac{\partial^2 f}{\partial u^2} + (Y, [X, X'])^2\frac{\partial^2 f}{\partial v^2} + 4(X, X')(Y, [X, X'])\frac{\partial^2 f}{\partial u \partial v} \\ &\quad + 2|X'|^2(\partial f/\partial u) + \tfrac{1}{2}\|[X, X']\|^2(\partial f/\partial v). \end{aligned}$$

Now, if  $\{X_i\}$ ,  $1 \leq i \leq m_\alpha$ , is an orthonormal basis of  $\bar{g}_\alpha$ , one has  $\sum_i (X, X_i)^2 = |X|^2$ ,  $\sum_i |X_i|^2 = m_\alpha$ ,  $\sum_i (X, X_i)(Y, [X, X_i]) = (Y, [X, X]) = 0$ ; also, Lemma 2 implies

$$\begin{aligned}\sum_i (Y, [X, X_i])^2 &= \sum_i ([Y, \theta X], X_i)^2 = \|[Y, \theta X]\|^2 \\ &= 2|\alpha|^2 |X|^2 |Y|^2\end{aligned}$$

and

$$\sum_i \|[X, X_i]\|^2 = 2m_{2\alpha} |\alpha|^2 |X|^2.$$

Letting  $X' = X_i$  in (9), it now follows that  $(LF)(n) = \sum_i (X_i^2 F)(n)$  is given by (iii). Since (ii) is clear, this proves the lemma.

For each  $\varepsilon \geq 0$ , define a function  $\|n\|_\varepsilon$  on  $\bar{N}$  by  $\|n\|_\varepsilon = \eta(|X|^2 + \varepsilon^2)^2 + |Y|^2$ , where  $n = \exp(X + Y)$ ,  $X \in \bar{\mathfrak{g}}_\alpha$ ,  $Y \in \bar{\mathfrak{g}}_{2\alpha}$ , and  $\eta$  denotes the constant  $|\alpha|^2/8 = (16(m_\alpha + 4m_{2\alpha}))^{-1}$ . One verifies that under the "dilations" of  $\bar{N}$  induced by the group  $A$ ,

$$(10) \quad \|ana^{-1}\|_\varepsilon = e^{-4\alpha(\log a)} \|n\|_{\varepsilon'}, \quad \varepsilon' = e^{\alpha(\log a)} \varepsilon, \quad a \in A.$$

In particular,  $\|n\|_0 = \eta|X|^4 + |Y|^2$  is a "gauge" on  $\bar{N}$ , in the sense of Korányi and Vági [6], which satisfies the homogeneity condition

$$(11) \quad \|ana^{-1}\|_0 = e^{-4\alpha(\log a)} \|n\|_0, \quad a \in A.$$

From now on we shall assume that  $m = m_\alpha + 2m_{2\alpha} > 2$ ; this excludes the cases when  $M$  is the real hyperbolic space of dimension 2 or 3. Now, set  $k = \frac{1}{4}(m_\alpha + 2m_{2\alpha} - 2) = \frac{1}{4}(m - 2)$ ; since  $\dim \bar{N} = m_\alpha + m_{2\alpha}$ , the function  $\|n\|_\varepsilon^{-k-1}$  is integrable on  $\bar{N}$  for every  $\varepsilon > 0$ ; one can then introduce a constant  $\beta$  by  $\beta^{-1} = -4k \eta m_\alpha \int_{\bar{N}} \|n\|_1^{-k-1} dn$ .

**THEOREM 1.** *The function  $G(n) = \beta \|n\|_0^{-k}$  is a fundamental solution for the operator  $L$ .*

**PROOF.** A straightforward application of Lemma 3 to the biradial function  $\|n\|_\varepsilon^{-k}$  shows that

$$(12) \quad L(\|n\|_\varepsilon^{-k}) = -4k \eta m_\alpha \varepsilon^2 \|n\|_\varepsilon^{-k-1}.$$

In particular,  $LG(n) = 0$  for all  $n \neq e$ .

We claim now that  $L(\beta \|n\|_\varepsilon^{-k})$  is an approximate identity as  $\varepsilon \rightarrow 0$ . In fact, by (12) one has

$$\int_{\bar{N}} L(\beta \|n\|_\varepsilon^{-k}) dn = \left[ \int_{\bar{N}} \|n\|_1^{-k-1} dn \right]^{-1} \int_{\bar{N}} \varepsilon^2 \|n\|_\varepsilon^{-k-1} dn.$$

But, via the change of variables  $n \rightarrow a^{-1}na$ , with  $a = \exp \varepsilon H_0$ ,  $\alpha(H_0) = 1$ , formula (10) shows that

$$\int_{\bar{N}} \varepsilon^2 \|n\|_{\varepsilon}^{-k-1} dn = \int_{\bar{N}} \|n\|_1^{-k-1} dn \quad (\varepsilon > 0).$$

Therefore  $L(\beta \|n\|_{\varepsilon}^{-k})$  is a positive  $L^1$ -function on  $\bar{N}$  with  $L^1$ -norm one. Moreover, the same change of variables shows that for any  $\delta > 0$ ,

$$\begin{aligned} \int_{\|n\| > \delta} L(\|n\|_{\varepsilon}^{-k}) dn &= -4km_{\alpha} \eta \int_{\|n\| > \delta} \varepsilon^2 \|n\|_{\varepsilon}^{-k-1} dn \\ &= -4km_{\alpha} \eta \int_{\|n\| > \delta/\varepsilon^4} \|n\|_1^{-k-1} dn, \end{aligned}$$

so that  $\lim_{\varepsilon \rightarrow 0} \int_{\|n\| > \delta} L(\|n\|_{\varepsilon}^{-k}) dn = 0$ .

Now, if  $f$  is a continuous function on  $\bar{N}$  with compact support, and  $g$  is smooth, then  $D(f * g) = f * Dg$  for any left-invariant differential operator  $D$  on  $\bar{N}$ , where the convolution is given by  $f * g(n) = \int_{\bar{N}} f(n_1) g(n^{-1}, n) dn_1$ . Hence

$$L(f * G) = \lim_{\varepsilon \rightarrow 0} L(f * \beta \|n\|_{\varepsilon}^{-k}) = \lim_{\varepsilon \rightarrow 0} f * L(\beta \|n\|_{\varepsilon}^{-k}) = f.$$

This finishes the proof of the theorem.

**REMARK.** In the case when  $\bar{N}$  is the Heisenberg group ( $M =$  complex hyperbolic space) this fundamental solution was obtained by Folland [2].

**DEFINITION.** For  $Z \in \bar{n}$ , the  $Z$ -Riesz kernel is the function  $r_Z(n) = ZG(n)$ ,  $n \neq e$ .

A straightforward application of Lemma 3 gives the following expressions of the above kernels:

$$r_Z(n) = -k\beta \|n\|_0^{-k-1} [4\eta |X|^2(Z, X) + ([X, Z], Y)] \quad \text{for } Z \in \bar{g}_{\alpha}, \text{ and}$$

$$r_Z(n) = -2k\beta \|n\|_0^{-k-1} (Y, Z) \quad \text{for } Z \in \bar{g}_{2\alpha}.$$

Under the adjoint action of  $A$  on  $\bar{N}$ , these kernels satisfy the homogeneity properties

$$r_Z(a^{-1}na) = e^{-(m-1)\alpha(\log a)} r_Z(n) \quad \text{for } Z \in \bar{g}_{\alpha}, \text{ and}$$

$$r_Z(a^{-1}na) = e^{-m\alpha(\log a)} r_Z(n) \quad \text{for } Z \in \bar{g}_{2\alpha};$$

in the latter case, one also has  $r_Z(n^{-1}) = -r_Z(n)$ . Thus, the functions  $r_Z$  can be considered as fractional integral kernels (for  $Z \in \bar{g}_{\alpha}$ ) and singular integral kernels (for  $Z \in \bar{g}_{2\alpha}$ ), and a standard argument gives

**THEOREM 2.** *The convolution operators defined by  $R_Z f = f * r_Z$  are:*

- (a) *bounded operators from  $L^p(\bar{N})$  into  $L^p(\bar{N})$ , for  $1 < p < \infty$  and  $Z \in \bar{g}_{2\alpha}$ ,*
- (b) *bounded operators from  $L^p(\bar{N})$  into  $L^q(\bar{N})$ , for  $1 < p < m$ ,  $1/q = 1/p - 1/m$ , and  $Z \in \bar{g}_{\alpha}$ .*



For more general results on fractional and singular integral operators on nilpotent groups see Stein [8] and Korányi and Vági [6].

We also note that these Riesz transforms satisfy the formal properties

$$(13) \quad \begin{aligned} X \circ R_Y - Y \circ R_X &= R_{[X,Y]} & (X, Y \in \bar{n}), \\ \sum_i X_i \circ R_{X_i} &= \text{identity} & (\{X_i\} \text{ orthonormal basis of } \bar{g}_a). \end{aligned}$$

We finish this section with a technical result that will be needed later.

LEMMA 4. *If  $F_1, F_2$  are biradial functions on  $\bar{N}$ , then  $F_1 * F_2 = F_2 * F_1$ .*

PROOF. With  $n = \exp(X + Y)$ ,  $n_1 = \exp(X_1 + Y_1)$  and  $F_i(\exp(X + Y)) = f_i(|X|^2, |Y|^2)$ , one has

$$\begin{aligned} F_1 * F_2(n_1) &= \int_{\bar{N}} F_1(n) F_2(n^{-1}n_1) dn \\ &= \int f_1(|X|^2, |Y|^2) f_2(|X_1 - X|^2, |Y_1 - Y - \tfrac{1}{2}[X, X_1]|^2) dX dY. \end{aligned}$$

Under the orthogonal change of variables  $X \rightarrow X' = 2((X, X_1)/|X_1|^2)X_1 - X$ , one has,  $|X_1 - X'| = |X_1 - X|$  and  $[X, X_1] = -[X', X_1]$ . Thus,

$$\begin{aligned} F_1 * F_2(n_1) &= \int f_1(|X|^2, |Y|^2) f_2(|X_1 - X|^2, |Y_1 - Y + \tfrac{1}{2}[X, X_1]|^2) dX dY \\ &= \int_{\bar{N}} F_1(n) F_2(nn^{-1}) dn = F_2 * F_1(n_1), \end{aligned}$$

proving the lemma.

**3. Boundary values of harmonic forms.** We regard the nilpotent group  $\bar{N}$  as a boundary for the symmetric space  $M$ , as, for example, in [5]. A function  $\Phi$  on  $M$  is said to be *uniformly in  $L^p$*  if there exists a constant  $K$  such that  $\int_{\bar{N}} |\Phi(na \cdot o)|^p dn < K$  for all  $a \in A$ . If the family of functions  $\{\Phi_a, a \in A\}$  on  $\bar{N}$  given by  $\Phi_a(n) = \Phi(na \cdot o)$  converges to a function  $\varphi$  on  $\bar{N}$  as  $a \rightarrow \infty$  (in  $L^p$ , uniformly, etc.), one simply says that  $\Phi$  *converges to  $\varphi$*  or that  $\varphi$  is the *boundary value of  $\Phi$* . The following facts are well known: Let  $1 < p < \infty$  and let  $\Phi$  be a harmonic function on  $M$  which is uniformly in  $L^p$ . Then  $\Phi$  has a boundary value  $\varphi \in L^p(\bar{N})$  to which it converges in  $L^p$  and almost everywhere. The Poisson kernel is the function  $P$  on  $\bar{N} \times A$  defined by

$$P(n, a) = P_a(n) = \exp\{-2\rho(H(a^{-1}na) - \log a)\}$$

where for each  $g \in G$ ,  $H(g)$  is the unique element in  $\mathfrak{a}$  such that  $g = k \exp H(g)n$  ( $k \in K, n \in N$ ). If  $\varphi \in L^p(\bar{N})$  one defines the Poisson integral of  $\varphi$  as the function  $\Phi$  on  $M$  given by

$$\Phi(na \cdot o) = \varphi * P_a(n) = \int_N \varphi(n_1) P_a(n^{-1}n) dn_1;$$

then  $\Phi$  is harmonic on  $M$ , it is uniformly in  $L^p$ , and its boundary value is the original function  $\varphi$ .

We shall now define  $H^p$  spaces of differential 1-forms. The need for different integrability conditions for different components will be apparent in Theorems 3 and 4.

DEFINITION. For  $1 < p < m$  ( $m = m_\alpha + 2m_{2\alpha}$ ), let  $q$  be given by  $1/q = 1/p - 1/m$ , and let  $H^p$  be the space of all 1-forms on  $M$  such that

- (i)  $d\omega = 0 = \delta\omega$ .
- (ii) The functions  $\omega(\tilde{X})$  ( $X \in \bar{\mathfrak{g}}_\alpha$ ), are uniformly in  $L^q$ .
- (iii) The functions  $\omega(\tilde{W}), \omega(\tilde{Y})$  ( $Y \in \bar{\mathfrak{g}}_{2\alpha}$ ) and  $\sum_i \tilde{X}_i \omega(\tilde{X}_i)$  ( $\{\tilde{X}_i\}$  orthonormal basis of  $\bar{\mathfrak{g}}_\alpha$ ) are uniformly in  $L^p$ .

REMARK. It should be pointed out that the components  $\omega(\tilde{W})$  and  $\omega(\tilde{X})$  are *not* harmonic (except when  $X$  belongs to the center of  $\bar{\mathfrak{n}}$ , a fact that is used below). Although replacing these vector fields by the infinitesimal isometries induced by  $\bar{\mathfrak{n}}$  would give harmonic components, such vector fields are inappropriate in the present context.

Our next objective is to associate a form  $\omega_f \in H^p$  to each function  $f \in L^p(\bar{N})$ . For this we will need a kernel  $Q$  on  $M$  defined by

$$Q(na \cdot o) = Q_a(n) = c\tilde{W}(P_a * G(n)) = c\tilde{W} \int_N P_a(g)G(g^{-1}n)dg$$

where  $G$  is the fundamental solution for the operator  $L$  introduced in §2, and  $c$  is a constant to be specified later.

Under conjugation by  $A$ , the kernel  $Q$  satisfies the homogeneity property

$$(14) \quad Q_{a'}(a^{-1}na) = e^{-m\alpha(\log a)} Q_{a'}(n).$$

In fact, for the Poisson kernel one has  $P_{a'}(a^{-1}na) = e^{-m\alpha(\log a)} P_{a'}(n)$  and therefore,  $\tilde{W}P_{a'}(a^{-1}na) = e^{-(m+2)\alpha(\log a)} \tilde{W}P_{a'}(n)$ ; on the other hand,  $G(a^{-1}na) = e^{-(m-2)\alpha(\log a)} G(n)$  so that (14) follows by the definition of  $Q$  as the convolution of  $\tilde{W}P_a$  with  $G$ .

LEMMA 5. For an appropriate choice of the constant  $c$ , the kernel  $Q_a$  is an approximate identity on  $\bar{N}$ , that is:

- (i)  $Q_a(n) > 0$  and  $Q_a \in L^1(\bar{N})$ ,
- (ii)  $\int_{\bar{N}} Q_a(n) dn = 1$  for all  $a \in A$ ,
- (iii)  $\lim_{t \rightarrow \infty} \int_{\bar{N}(\epsilon)} Q_{a_t}(n) dn = 1$  for all  $\epsilon > 0$ , where  $a_t = \exp tH_0$  and  $\bar{N}(\epsilon) = \{n \in \bar{N}: \|n\| \leq \epsilon\}$ .

PROOF. The proof of the integrability of  $Q_a$  is more involved than one would perhaps expect.

First of all, since  $P_{a_t} * G(n)$  is a monotone function of  $t$ , the kernel  $\tilde{W}P_{a_t} * G(n) = \tilde{W}P_{a_t} * G(n) = e^{2t}(d/dt)P_{a_t} * G(n)$  has constant sign; therefore, by Fubini's Theorem, the integrability of  $Q_a$  will follow from the existence of the iterated integral

$$(15) \quad \int_{\bar{g}_\alpha} \int_{\bar{g}_{2\alpha}} (\tilde{W}P_a * G)(\exp(X + Y)) dX dY$$

(in this proof we systematically use  $X, X'$  and  $Y, Y'$  to denote elements of  $\bar{g}_\alpha$  and  $\bar{g}_{2\alpha}$  respectively). Secondly, because of the homogeneity property (14) it is enough to consider the case  $a = e = \text{identity}$ .

Now, if  $n = \exp(X + Y)$  and  $n' = \exp(X' + Y')$ , then

$$n'^{-1}n = \exp(X - X' + Y - Y' + \tfrac{1}{2}[X, X']),$$

where  $X - X' \in \bar{g}_\alpha$  and  $Y - Y' + \tfrac{1}{2}[X, X'] \in \bar{g}_{2\alpha}$ . We then have

$$(16) \quad \begin{aligned} & \tilde{W}P_e * G(|X|, |Y|) \\ &= \int_{\bar{n}} \tilde{W}P_e(|X'|, |Y'|) G(|X - X'|, |Y - Y' + \tfrac{1}{2}[X, X']|) dX dY \end{aligned}$$

where we write  $F(|X|, |Y|) = F(\exp(X + Y))$  whenever  $F$  is a biradial function of  $\bar{N}$ . Integrating over  $\bar{g}_{2\alpha}$ , and since the integrand in (16) has constant sign as a function of  $Y$ , we can exchange the order of the integrations over  $\bar{g}_{2\alpha}$  and  $\bar{n}$  and get

$$(17) \quad \begin{aligned} & \int_{\bar{g}_{2\alpha}} \tilde{W}P_e * G(|X|, |Y|) dY \\ &= \int_{\bar{n}} \tilde{W}P_e(|X'|, |Y'|) \left( \int_{\bar{g}_{2\alpha}} G(|X - X'|, |Y - Y' + \tfrac{1}{2}[X, X']|) dY \right) dX' dY' \\ &= \int_{\bar{n}} \tilde{W}P_e(|X'|, |Y'|) \left( \int_{\bar{g}_{2\alpha}} G(|X - X'|, |Y|) dY \right) dX' dY' \end{aligned}$$

because of invariance under translations. Since

$$G(|X - X'|, |Y|) = \beta(\eta|X - X'|^4 + |Y|^2)^{-k},$$

the change of variable  $Y \rightarrow \eta^{1/2}|X - X'|^2 Y$  shows that

$$\int_{\bar{g}_{2\alpha}} G(|X - X'|, |Y|) dY = \beta\eta^{1/2m_{2\alpha}-4k}|X - X'|^{2m_{2\alpha}-4k} \int_{\bar{g}_{2\alpha}} (1 + |Y|^2)^{-k} dY.$$

Since under the assumption  $m_\alpha + 2m_{2\alpha} > 2$  one has

$$2k = \tfrac{1}{2}(m_\alpha + 2m_{2\alpha} - 2) > m_{2\alpha} = \dim \bar{g}_{2\alpha},$$

the last integral exists, and we conclude that

$$(18) \quad \int_{\bar{g}_{2\alpha}} \tilde{W}P_2 * G(|X|, |Y|) dY \\ = C_1 \int_{\bar{\Pi}} \tilde{W}P_e(|X'|, |Y'|) |X - X'|^{2m_{2\alpha}-4k} dX' dY'$$

where  $C_1$  is a constant. Now, let  $\Sigma = \{X \in \bar{g}_\alpha : |X| = 1\}$  and introduce polar coordinates in  $\bar{g}_\alpha$ :  $X' = \rho\xi$ ,  $0 \leq \rho$ ,  $\xi \in \Sigma$ ; since  $2m_{2\alpha} - 4k = -m_\alpha + 2$  the last integral becomes

$$(19) \quad \int_0^\infty I(\rho) \left[ \int_\Sigma |X - \rho\xi|^{-m_\alpha+2} d\xi \right] \rho^{m_\alpha-1} d\rho$$

where  $I(\rho) = \int_{\bar{g}_{2\alpha}} \tilde{W}P_e(\rho, |Y|) dY$ . Now, consider the function

$$X \rightarrow \int_\Sigma |\rho^{-1}X - \xi|^{-m_\alpha+2} d\xi;$$

it is continuous on  $\bar{g}_\alpha$ , smooth and harmonic for  $|X| \neq \rho$  (with respect to the Laplacian associated with the inner product  $(\cdot, \cdot)$ ), invariant under the corresponding rotation group, equal to  $\sigma = \text{measure of } \Sigma$  for  $X = 0$ , and not identically constant. One can therefore see that

$$\int_\Sigma |\rho^{-1}X - \xi|^{-m_\alpha+2} d\xi = \begin{cases} \sigma & \text{for } |X| \leq \rho, \\ \sigma \rho^{m_\alpha-2} |X|^{-m_\alpha+2} & \text{for } |X| \geq \rho, \end{cases}$$

which implies that (19) can be rewritten as

$$(20) \quad \sigma |X|^{-m_\alpha+2} \int_0^{|X|} I(\rho) \rho^{m_\alpha-1} d\rho + \sigma \int_{|X|}^\infty I(\rho) \rho d\rho.$$

In order to evaluate  $I(\rho)$  we make use of the formula [3, p. 65]

$$P_{a_t}(|X|, |Y|) = e^{-mt} [(e^{-2t} + c|X|^2)^2 + 4c|Y|^2]^{-m/2},$$

where  $c = (4(m_\alpha + 4m_{2\alpha}))^{-1}$ ,  $m = m_\alpha + 2m_{2\alpha}$ , which yields

$$I(\rho) = \int_{\bar{g}_{2\alpha}} \tilde{W}P_e(\rho, |Y|) dY \\ = -m \int_{\bar{g}_{2\alpha}} [(1 + c\rho^2)^2 + 4c|Y|^2]^{-m/2-1} \\ \times [(1 + c\rho^2)^2 + 4c|Y|^2 - 2(1 + c\rho^2)] dY.$$

After the change of variable  $Y \rightarrow (1 + c\rho^2)Y$ , this becomes

$$I(\rho) = \text{const} \cdot [2b(1 + c\rho^2)^{-(m_\alpha + m_{2\alpha} + 1)} - (1 + c\rho^2)^{-(m_\alpha + m_{2\alpha})}]$$

where

$$b = \left[ \int_{\bar{g}_{2\alpha}} (1 + 4c|Y|^2)^{-m/2+1} dY \right]^{-1} \int_{\bar{g}_{2\alpha}} (1 + 4c|Y|^2)^{-m/2} dY.$$

Evaluating these two integrals gives  $b = m^{-1}(m_\alpha + m_{2\alpha})$ . Now, substituting this expression for  $I(\rho)$  into (20), one checks by differentiation that (20) equals  $C(1 + c|X|^2)^{-(m_\alpha + m_{2\alpha} - 1)}$ , where the constant  $C$  is given by  $C = \sigma(m_\alpha - 2) \cdot [2cm(m_\alpha + m_{2\alpha} - 1)]^{-1}$ . Therefore,

$$(21) \quad \int_{\bar{g}_{2\alpha}} \tilde{W}P_e * G(|X|, |Y|) dY = \text{const} \cdot (1 + c|X|^2)^{-(m_\alpha + m_{2\alpha} - 1)}.$$

Since  $2(m_\alpha + m_{2\alpha} - 1) > m_\alpha = \dim \bar{g}_\alpha$ , the above expression is integrable over  $\bar{g}_\alpha$ ; we have therefore shown that (15) exists, so that  $\tilde{W}P_a * G$  is integrable. Now choose  $c$  so that  $Q_e = c\tilde{W}P_e * G$  has integral equal to one; then  $Q_e > 0$  and by the homogeneity property (14) one has

$$(22) \quad Q_a(n) = e^{m\alpha(\log a)} Q_e(a^{-1}na)$$

so that  $Q_a > 0$  for all  $a \in A$ . This finishes the proof of (i).

Integrating (22) and recalling that the Jacobian of the change of variable  $n \rightarrow ana^{-1}$  is  $e^{-m\alpha(\log a)}$ , one gets

$$\int_N Q_a(n) dn = e^{m\alpha(\log a)} \int_N Q_e(a^{-1}na) dn = \int_N Q_e(n) dn = 1,$$

proving (ii). On the other hand, letting  $a = a_t$  in (22) and integrating over  $\bar{N}(\epsilon)$  one gets

$$\int_{\bar{N}(\epsilon)} Q_{a_t}(n) dn = e^{mt} \int_{\bar{N}(\epsilon)} Q_e(a_t^{-1}na_t) dn = \int_{a_t^{-1}\bar{N}(\epsilon)a_t} Q_e(n) dn.$$

Since  $\|a_t^{-1}na_t\| = e^{4t}\|n\|$ , it follows that  $a_t^{-1}\bar{N}(\epsilon)a_t = \bar{N}(e^{4t}\epsilon)$ . Therefore, as  $t \rightarrow +\infty$ , the last integral converges to  $\int_N Q_e(n) dn = 1$ . This shows (iii) and finishes the proof of the lemma.

REMARK. Although the kernel  $Q_a$  is not an elementary function in general, formula (21) gives its integral over  $\bar{g}_{2\alpha}$ . In particular, when  $\bar{g}_{2\alpha} = (0)$  (case of real hyperbolic space) one gets the explicit expression

$$Q_{a_t}(X) = Ce^{(m_\alpha - 2)t} / (e^{2t} + |X|^2)^{m_\alpha - 1}.$$

Now, for each function  $f$  on  $\bar{N}$  for which the following convolutions make sense, define a 1-form  $\omega_f$  on  $M$  by

$$\begin{aligned}
 (23) \quad \omega_f(\tilde{W})_{na \cdot o} &= f * Q_a(n), \\
 \omega_f(\tilde{X})_{na \cdot o} &= f * P_a * r_X(n) \quad (X \in \bar{n}).
 \end{aligned}$$

As before, all convolutions are taken on the group  $\bar{N}$ . Note that, if  $f$  is sufficiently nice, then  $f * P * G$  exists and  $\omega_f$  is just the exterior derivative of this function; but this convolution is not in general defined for  $f \in L^p(\bar{N})$  and  $p$  in the full range  $1 < p < m$ .

**THEOREM 3.** *Let  $1 < p < m$  and let  $f \in L^p(\bar{N})$ . Then*

- (i)  $\omega_f$  is well defined and belongs to  $\mathbf{H}^p$ ,
- (ii)  $\omega_f(\tilde{W})$  converges in  $L^p$  and a.e. to  $f$ ,
- (iii) for each  $X \in \bar{g}_\alpha$  (resp.  $X \in \bar{g}_{2\alpha}$ ),  $\omega_f(\tilde{X})$  converges in  $L^q$  (resp.  $L^p$ ) and a.e. to the Riesz transform  $R_X f = f * r_X$ .

**PROOF.** For  $f \in L^p(\bar{N})$ , the convolutions  $f * P$  and  $f * Q$  are smooth functions on  $M$  which are uniformly in  $L^p(\bar{N})$ . Since the Riesz transforms are defined in  $L^p(\bar{N})$ , it follows that  $\omega_f$  is a well-defined differential form on  $M$ .

Now notice that if  $f(n)$  and  $F(na \cdot o) = F_a(n)$  are functions on  $\bar{N}$  and  $M$ , respectively, then derivatives of the convolution  $f * F_a$  with respect to the vector fields  $\tilde{X}$  satisfy  $\tilde{X}(f * F_a) = f * XF_a$ . Therefore, for  $X, Y \in \bar{n}$  one has

$$\tilde{X}\omega_f(\tilde{Y}) - \tilde{Y}\omega_f(\tilde{X}) = f * P * (Xr_Y - Yr_X) = f * P * r_{[XY]} = \omega_f([\tilde{X}, \tilde{Y}]).$$

Also, since  $\tilde{X}$  and  $\tilde{W}$  commute,  $\tilde{X}\omega_f(\tilde{W}) - \tilde{W}\omega_f(\tilde{X}) = 0 = \omega_f([\tilde{X}, \tilde{W}])$ . Since the vector fields  $\tilde{X}, \tilde{W}$  ( $X \in \bar{n}$ ) span the tangent space to  $M$  at every point, it follows that  $\omega_f$  is closed. In order to show that it is coclosed, we may assume that  $f$  is, say, continuous of compact support, the result for a general  $f \in L^p(\bar{N})$  following by a standard approximation argument. Then  $\delta\omega_f = \delta d(f * P * G) = \Delta(f * P * G)$ , where  $\Delta$  is the Laplace-Beltrami operator on  $M$ ; since  $P$  and  $G$  are both biradial, the function  $f * P * G = f * G * P$  is harmonic, and so is annihilated by  $\Delta$ .

The Poisson integral of  $f$  is uniformly in  $L^p(\bar{N})$  and it converges in  $L^p$  to its boundary value  $f$ . Since convolution against the Riesz kernels  $r_X$  ( $X \in \bar{g}_\alpha$ ) is a bounded operator from  $L^p(\bar{N})$  into  $L^q(\bar{N})$ , one concludes that the function  $\omega_f(\tilde{X})$  (which, we recall, is not always harmonic) is uniformly in  $L^q(\bar{N})$  and that it converges to  $R_X f = f * r_X$  in  $L^q$ -norm. The same argument applies to the components  $\omega(\tilde{X})$  when  $X \in \bar{g}_{2\alpha}$ , giving a bounded operator from  $L^p$  into  $L^p$ . If  $X_1, \dots, X_n$  is an orthonormal basis of  $\bar{g}_\alpha$ ,  $\sum X_i r_{X_i}$  is the Dirac-distribution on  $\bar{N}$ ; therefore  $\sum_i \tilde{X}_i \omega(\tilde{X}_i) = \sum f * X_i (P * r_{X_i}) = f * P$  and so this function is also uniformly in  $L^p(\bar{N})$ . Finally,  $Q$  being an approximate identity, the component  $\omega_f(\tilde{W}) = f * Q$  is clearly uniformly in  $L^p(\bar{N})$  and it converges in  $L^p$ -norm to  $f$ . Q.E.D.

In the next theorem we show that the mapping  $f \rightarrow \omega_f$  from  $L^p(\bar{N})$  into  $\mathbf{H}^p$  is actually an isomorphism onto. Together with Theorem 3 this implies that every form  $\omega$  in  $\mathbf{H}^p$  has boundary values in the appropriate sense, and that the boundary values of the components of  $\omega$  along the vector fields  $\tilde{X}$  ( $X \in \bar{n}$ ) are precisely the Riesz transforms of the boundary value of the component of  $\omega$  along the vector field  $\tilde{W}$ .

**THEOREM 4.** *Let  $\omega \in \mathbf{H}^p$ . Then there exists a unique  $f \in L^p(\bar{N})$  such that  $\omega = \omega_f$ .*

**PROOF.** Let  $X_1, \dots, X_{m_\alpha}$  be an orthonormal basis of  $\bar{g}_\alpha$ ,  $\tilde{L} = \sum \tilde{X}_i^2$ , and let  $Y$  be in the center of  $\bar{n}$ . For any closed form  $\xi$  on  $M$ , a straightforward computation using Lemma 1 shows that  $\Delta(\sum_i \tilde{X}_i \xi(\tilde{X}_i)) = \tilde{L} \delta \xi$  and  $\Delta \xi(\tilde{Y}) = \tilde{Y} \delta \xi$ . Therefore  $\omega \in \mathbf{H}^p$  implies that the functions  $\sum \tilde{X}_i \omega(\tilde{X}_i)$  and  $\omega(\tilde{Y})$  are harmonic; they can then be written as Poisson integrals  $\sum_i \tilde{X}_i \omega(\tilde{X}_i) = f * P$  and  $\omega(\tilde{Y}) = \varphi * P$ , with  $f, \varphi \in L^p(\bar{N})$ . Let  $\omega_f$  be the form associated to  $f$  by equation (23); then  $\omega_f(\tilde{Y}) = f * P * r_Y = \sum \tilde{X}_i \omega(\tilde{X}_i) * YG$ , and since  $Y$  is in the center of  $\bar{n}$ , this last expression is equal to  $\tilde{Y}(\sum_i \tilde{X}_i \omega(\tilde{X}_i)) * G$ . But  $\tilde{Y}(\sum \tilde{X}_i \omega(\tilde{X}_i)) = \tilde{L} \omega(\tilde{Y})$ , so we can write

$$(24) \quad \omega_f(\tilde{Y}) = \tilde{L} \omega(\tilde{Y}) * G = \tilde{L}(\varphi * P) * G = \varphi * \tilde{L}P * G.$$

Now the functions  $G, P$  and  $\tilde{L}P$  are biradial and since  $G$  is a fundamental solution for the operator  $L$ , one has

$$\tilde{L}P * G = G * \tilde{L}P = \tilde{L}(G * P) = \tilde{L}(P * G) = P.$$

Substituting in (24) we get  $\omega_f(\tilde{Y}) = \varphi * P = \omega(\tilde{Y})$ .

Now set  $\omega' = \omega - \omega_f$ ; then  $\omega' \in \mathbf{H}^p$  and if  $Y$  is in the center of  $\bar{n}$ , we have shown above that  $\omega'(\tilde{Y}) = 0$ ; let  $X$  be an arbitrary element of  $\bar{n}$ ; then

$$\tilde{Y} \omega'(\tilde{X}) = \tilde{X} \omega'(\tilde{Y}) + \omega'([\tilde{Y}, \tilde{X}]) = 0.$$

Consequently, the functions  $\omega'(\tilde{X})$  are constant on the orbits of the center of  $\bar{N}$  under the action  $\tau$ ; on the other hand,  $\omega' \in \mathbf{H}^p$  implies that  $\omega'(\tilde{X})$  is in  $L^p$  of the orbits of  $\tau(\bar{N})$ , so that these functions cannot be constant on the submanifolds  $\tau(\exp \bar{g}_{2\alpha})$  unless they are identically zero. We then conclude that  $\omega'(\tilde{X}) = 0$  for all  $X \in \bar{n}$ , and exactly the same argument shows that  $\omega'(\tilde{W}) = 0$ . Thus  $\omega' \equiv 0$ , proving that  $\omega = \omega_f$ , as claimed.

Theorems 3 and 4 can be summarized by

**COROLLARY.** *Let  $\omega \in \mathbf{H}^p$ ; then  $\omega(\tilde{W}), \omega(\tilde{X}), \omega(\tilde{Y})$  have boundary values in  $L^p, L^q$ , and  $L^p$  respectively, and if  $f =$  boundary value of  $\omega(\tilde{W})$ , then  $\omega = \omega_f$ .*

**REMARK.** As observed before, the results of §§2-3 hold under the assumption  $m = m_\alpha + 2m_{2\alpha} \geq 3$ . In the two remaining cases  $m = 1$  (usual upper-

half plane) and  $m = 2$  (three-dimensional real hyperbolic space), appropriate modifications in the definitions of the Riesz transforms and in the length of the vector field  $\tilde{W}$ , yield similar results. In particular, for  $m = 1$ , one gets the classical relation between conjugate harmonic functions in the upper-half plane and the Hilbert transform on the line.

## REFERENCES

1. R. R. Coifman and G. Weiss, *Invariant systems of conjugate harmonic functions associated with compact Lie groups*, *Studia Math.* **44** (1972), 301–308. MR **49** #5736.
2. G. B. Folland, *A fundamental solution for a subelliptic operator*, *Bull. Amer. Math. Soc.* **79** (1973), 373–376. MR **47** #3816.
3. S. Helgason, *A duality for symmetric spaces with applications to group representations*, *Advances in Math.* **5** (1970), 1–154. MR **41** #8587.
4. L. Hörmander, *Hypoelliptic second order differential equations*, *Acta Math.* **119** (1967), 147–171. MR **36** #5526.
5. A. Korányi, *Boundary behavior of Poisson integrals on symmetric spaces*, *Trans. Amer. Math. Soc.* **140** (1969), 393–409. MR **39** #7132.
6. A. Korányi and S. Vági, *Singular integrals on homogeneous spaces and some problems of classical analysis*, *Ann. Scuola Norm. Sup. Pisa* **25** (1971).
7. ———, *Cauchy-Szegő integrals for systems of harmonic functions*, *Ann. Scuola Norm. Sup. Pisa* **26** (1972), 181–196.
8. E. M. Stein, *Singular integrals and estimates for the Cauchy-Riemann equations*, *Bull. Amer. Math. Soc.* **79** (1973), 440–445. MR **47** #3851.
9. E. M. Stein and G. Weiss, *On the theory of harmonic functions of several variables. I: The theory of  $H^p$ -spaces*, *Acta Math.* **103** (1960), 25–62. MR **22** #12315.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MASSACHUSETTS, AMHERST, MASSACHUSETTS 01002 (Current address of Aroldo Kaplan)

DEPARTMENT OF MATHEMATICS, BELFER GRADUATE SCHOOL OF SCIENCE, YESHIVA UNIVERSITY, NEW YORK, NEW YORK 10033

*Current address* (Robert Putz): Department of Mathematics, New York Community College, City University of New York, Brooklyn, New York 11201